

March 1, 2013

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street, Suite 10 Concord, NH 03301-2429

RE: American Utility Management, Inc.'s Renewal Registration for Electric Aggregator

Sir/Madam:

Founded in 1994, American Utility Management (AUM) is the premier Energy Services and Utility Management partner to the multifamily industry. Through energy management, bill processing, and resident utility billing, the company provides invaluable expertise to its partners by finding lost revenue, identifying areas for cost savings, and providing technology and innovation in managing utility and energy expenses.

As AUM continues to broaden its services in additional states, it must be vigilant with staying in compliance with state and local rules and regulations. Therefore, AUM has attached the renewal registration application, two copies and the renewal registration fee for your consideration.

Since the initial filing, AUM has moved to a new location and updated the address in the renewal registration. Also, a few principal members of AUM have changed titles; they are as follows, Michael Miller's title changed from President to CEO, Dimitris Kapsis' title changed from VP Energy Management Solutions to Chief Energy Officer and David Carpenter's title changed from Executive VP to Chief Strategic Officer.

If additional information is required for AUM to renew our license as an electric aggregator in New Hampshire, please let me know and it will be provided.

Michele Smurzynski
AUM Corporate Paralegal

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FORM FOR INITIAL REGISTRATION OF AGGREGATOR

- 1. The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state: American Utility Management, Inc. a/k/a AUM
- 2. The applicant's business address, telephone number, e-mail address and website address, as applicable: 333 E. Butterfield Rd., 3rd Floor Lombard, IL 60148; 630-218-1400; <u>jpeterson@aum-inc.com</u> or <u>msmurzynski@aum-inc.com</u>; aum-inc.com
- 3. The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual: Michael Miller, CEO, mmiller@auminc.com; Robert Malpasuto, CFO, malpo@aum-inc.com; Daniel Witte, Executive VP, dwitte@aum-inc.com; David Carpenter, Chief Strategic Officer, dcarpenter@aum-inc.com; Jeff Peterson, Secretary, ipeterson@aum-inc.com; 333 E. Butterfield Rd., 3rd Floor Lombard, IL 60148; 630-218-1400
- 4. The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available: **Dimitris**Kapsis, Chief Energy Officer, 630-218-1400 ex1445, dkapsis@aum-inc.com
- 5. A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual: **See Attached**
- 6. Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above: AUM would like to have the potential to become an aggregator through-out the state and not be limited to a particular geographic area
- 7. A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent: **AUM does not intend to represent any supplier interests**
- 8. Payment of the required filing fee: See Attached
- 9. The signature of the applicant or its representative:

State of New Hampshire Department of State

CERTIFICATE OF AUTHORITY OF

AMERICAN UTILITY MANAGEMENT, INC.

The undersigned, as Secretary of State of the State of New Hampshire, hereby certifies that an Application of AMERICAN UTILITY MANAGEMENT, INC. for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, as such Secretary of State, and by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to AMERICAN UTILITY MANAGEMENT, INC. to transact business in this State under the name of AMERICAN UTILITY MANAGEMENT, INC. and attaches hereto a copy of the Application for such Certificate.

Business ID#: 643216

IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 7th day of February, 2011 A.D.

William M. Gardner Secretary of State

